



PATENT

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Date of Signature and Deposit: August 20, 2003

Adam J. Forman
Adam J. Forman, Reg. No. 46,707

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Mark A. Lucak, et al.
Serial No.: 09/843,563
Filed: April 26, 2001
For: Method for Fabricating an Isolated Microelectromechanical System (MEMS) Device Using a Pre-Patterned Substrate
Grp. Art Unit: 2823
Examiner: William D. Coleman
Docket No.: 110003.97427 (00AB179)

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

- ☐ (1) Pursuant to 37 CFR 1.97(b)
(within 3 months of filing or prior to mailing of 1st Office Action)
- ☒ (2) Certification Pursuant to 37 CFR 1.97(c)
(before Final Office Action or Allowance)
- ☐ (3) Fee Payment Pursuant to 37 CFR 1.97(c)
(before Final Office Action or Allowance)
- ☐ (4) Petition, Certification & Fee Payment Pursuant to 37 CFR 1.97(d)
(before issue fee payment)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir or Madam

The following are submitted in the above-identified application in compliance with 37 CFR 1.97 & 1.98:

- ☒ (5) A list of documents on Form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

- ☐ (6) 37 CFR 1.97(b) (within 3 months of filing or prior to 1st Office Action)
- ☒ (7) 37 CFR 1.97(c) (before Final Office Action or Allowance, whichever is earlier); and

- ☐ (8) The required certification made in item 11 below; or
- ☒ (9) The \$180.00 fee specified in 36 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- ☐ (10) 37 CFR 1.97(d) (before issue fee payment); and
- [a] (This is a petition for consideration of the subject Information Disclosure Statement. The petition fee \$180.00 required by 37 CFR 1.17(P) is authorized in item 14 below. (Direct this letter to: "Attention PETITIONS EXAMINER" and include Confirmation No. _____ and Date of Allowance _____), and
- [b] The required Certification is stated in item 11 below.
- ☐ (11) Certification
- ☐ (12) Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- ☐ (13) No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.
- ☒ (14) Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 17-0055.

Respectfully submitted,

Mark A. Lucak, et al.

By: _____



Adam J. Forman
Reg. No. 46,707
Attorney for Applicant
Quarles & Brady LLP
411 E. Wisconsin Avenue
Milwaukee WI 53202-4497
(414) 277-5405



PTO/SB/08A (02-03)

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Substitute for form 1449/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Application Number	09/843,563
		Filing Date	April 26, 2001
		First Named Inventor	Mark A. Lucak
		Art Unit	2823
		Examiner Name	William D. Coleman
Sheet 1	of 1	Attorney Docket Number	110003.97427 (00AB179)

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US-			
	1	US- 6,428,713	08-06-2002	Christenson, et al.	
	2	US- 5,946,549	08-31-1999	Itoigawa, et al.	
	3	US- 6,008,138	12-28-1999	Laermer, et al.	
	4	US- 6,369,931	04-09-2002	Funk, et al.	
	5	US- 6,159,385	12-12-2000	Yao, et al.	
	6	US- 6,547,973	04-15-2003	Field	
	7	US- 5,723,353	03-03-1998	Muenzel, et al.	
	8	US- 6,276,205	08-21-2001	McNie, et al.	
	9	US- 5,399,232	03-21-1995	Albrecht, et al.	
	10	US- 5,578,528	11-26-1996	Wuu, et al.	
	11	US- 2002/0158039	10-31-2002	Harris, et al.	
	12	US- 2002/0021119	02-21-2002	Yao, et al.	
		US-			
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ *Number ⁴ *Kind Code ⁵ (if known)				

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.